



CHARACTER/BACKGROUND REFERENCE FORM FOR HAZARDOUS WASTE FACILITY KEY EMPLOYEES

BACKGROUND

Pursuant to ARS § 49-922.C. and A.A.C. R18-8-270.J, the Arizona Department of Environmental Quality (ADEQ) requires that permit applicants and other persons associated with a hazardous waste management facility supply character/background information sufficient to demonstrate their reliability, expertise, integrity, and competence to operate a hazardous waste facility. The attached application supplement shall be provided to ADEQ at the time that a RCRA Part B permit application to treat, store, or dispose of hazardous waste is submitted and at such times when Key Employees are added or changed.

DEFINITIONS

“Applicant” is defined as the corporation, company, partnership, or other entity seeking a hazardous waste facility permit and identified in the permit application.

“Key Employee” is defined as any person employed by the Applicant in a supervisory capacity or empowered to make discretionary decisions with respect to the solid waste or hazardous waste operations of the facility. This definition may include positions such as the plant manager, environmental manager, emergency coordinator(s), and training director(s).

INSTRUCTIONS

- Each of the Applicant’s Key Employees must complete a copy of this form as part of the RCRA Part B permit application or as necessary to modify the permit.
- All questions must be answered completely.
- As indicated on the last page of this form, all statements herein are to be made under oath.
- ADEQ may coordinate with other state, federal, and local agencies to verify the information provided herein, as well as to obtain additional information as needed. The Attorney General’s Office may conduct background investigations on any or all of the persons identified in the Applicant’s submittals.
- Additional background or reference information may be submitted if you believe that it will help the ADEQ to render a decision on your application. Additional sheets can be attached as necessary.
- Send completed forms to ADEQ, Hazardous Waste Permits Unit, 1110 W. Washington, Mail Code 4415A-1, Phoenix, Arizona 85007.

CHARACTER/BACKGROUND REFERENCE FORM

1. General Information

Full name _____
(First) (Middle) (Last)

Date of birth* _____
(Month) (Day) (Year)

Place of birth* _____
(City) (State) (County)

Social Security Number* _____

Current residence* _____
(Street) _____
(City) (State) (Zip)

Information marked with “*” will be held confidential and will not be made available in a public file.

Are you a U.S. citizen? Yes _____ No _____

2. Employment History (last 5 years)

Dates From/To and Position

Name and Address of Employer

Dates From/To: _____
Position: : _____

(Name)
(Street)
(City) (State) (Zip)

Dates From/To: _____
Position: : _____

(Name)
(Street)
(City) (State) (Zip)

Dates From/To: _____
Position: : _____

(Name)
(Street)
(City) (State) (Zip)

CHARACTER/BACKGROUND REFERENCE FORM

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3. Have you read and do you understand the Arizona hazardous waste laws, rules, and regulations? Yes _____
No _____
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4. Have you been convicted of a felony within the last five years? Include guilty and nolo contendere (no contest) pleas. Yes _____
No _____

If "yes", please specify

the court(s) in which the conviction(s) was/were entered (name and location) _____

date of each conviction _____

original charge(s) _____

offense(s) convicted of _____

5. Have you been convicted of a misdemeanor relating to environmental matters within the last 5 years? Include guilty and nolo contendere (no contest) pleas. Yes _____
No _____

If "yes", please specify:

The court(s) in which the conviction(s) was/were entered _____

Date of each conviction _____

Original charge(s) _____

Offense(s) convicted of _____

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6. **Has any governmental or judicial entity provided you with notice that you are subject to any restraining order, injunction or similar order related to environmental matters within the last 5 years?** Yes _____
No _____

If "yes", please specify:

Date of each order _____

The court, agency or other entity which issued order(s) _____

Type of action _____

Is the action still pending? Yes _____
No _____

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7. **Are you presently the subject of any investigation or proceeding by the U.S. EPA or government authority in any state regarding environmental issues?** Yes _____
No _____

If "yes", indicate the nature of the investigation of the proceeding and the name and location of the government authority _____

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8. **Have you ever had a business or professional license revoked or suspended?** Yes _____
No _____

If yes, provide the address of the licensing authority and the date of the action/order. _____

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9. **Have you ever been denied a business or professional license?** Yes _____
No _____

If yes, provide the address of the licensing authority and the date of the action/order. _____

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10. **Have you voluntarily surrendered a business or professional license in the last 5 years?** Yes _____
No _____

If yes, provide the address of the licensing authority and the date of the action/order. _____

CHARACTER/BACKGROUND REFERENCE FORM

STATE OF _____)

County of _____)

I, _____, having been duly
(full name--printed or typed)

sworn, depose and say that the foregoing information is in all respect true and correct to the best of my knowledge. I understand that providing false information is a felony.

(Signature)

SUBSCRIBED AND SWORN to before me this _____ day of _____, 20_____.

(Notary Public)

My Commission expires:
